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\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Practice Limited to  
Federal Agencies

July 7, 2004

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Mail Stop Amendment**

Re: U.S. Patent Application  
Application No. 09/721,107; Filed: November 22, 2000  
For: **Intravascular Stent**  
Inventor: Dominik M. Wiktor  
Our Ref: P804 CON 9 (1737.2290009)

**RECEIVED**

JUL 12 2004

Sir:

TECHNOLOGY CENTER R3700

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;
2. Form PTO-1449 listing 321 documents (14 pages);
3. Copies of 168 documents AA1-AD1, AF1-AO1, AR1, AS1, AU1-AZ1, AC2, AE2-AL2, AP2-AX2, AZ2, AB3-AH3, AJ3-AO3, AX3, AY3, AA4-AD4, AF4-AH4, AL4-AN4, AP4-AT4, AV4, AW4, AZ4, AA5-AD5, AJ5, AK5, AN5, AO5, AV5, AE6, AF8, AH8, AJ8, AK8, AN8-AX8, AA9, AC9, AD9, AF9-AJ9, AM9-AO9, AR9, AS9, AU9, AV9, AA10, AC10-AF10, AJ10, AK10, AM10-AO10, AS10-AW10, AA11, AC11-AK11, AM11-AO11, AS11-AU11, AB12-AF12, AK12, AL12, AP12, AS12-AW12, AB13-AD13, AJ13, AN13, AP13, AR13, AT13, AW13, AA14-AC14 and AF14 from Form PTO-1449;
4. Fee Transmittal Form;
5. United States Patent and Trademark Credit Card Payment Form; and
6. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are

Commissioner for Patents  
July 7, 2004  
Page 2

necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Rae Lynn P. Guest". The signature is written in a cursive, flowing style.

Rae Lynn P. Guest  
Attorney for Applicant  
Registration No. 53,482

DKSC/RLP/dbj  
Enclosures

284263\_1.DOC

# **FREE TRANSMITTAL** **for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 180.00
**Complete if Known**

Application Number	09/721,107
Filing Date	November 22, 2000
First Named Inventor	Dominik M. Wiktor
Examiner Name	Ralph A. Lewis
Art Unit	3732
Attorney Docket No.	P804 CON 9 (1737.2290009)

**METHOD OF PAYMENT** (check all that apply)
☐ Check ☒ Credit card ☐ Money Order ☒ Other\*\* ☐ None

☐ \*\*Charge any deficiencies or credit any overpayments in the fees to Deposit Acct. No. 19-0036.

 Deposit  
Account  
Number  
Deposit  
Account  
Name

19-0036

Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>0.00</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20 **	X	
Multiple Dependent	- 3 **	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>0.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

180.00

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Rae Lynn P. Guest	Registration No. (Attorney/Agent)	53.482	Telephone	(202) 371-2600
Signature	<i>Rae Lynn P. Guest</i>	Date	7/7/04		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dominik M. Wiktor

Appl. No.: 09/721,107

Filed: November 22, 2000

For: **Intravascular Stent**

Confirmation No.: 6122

Art Unit: 3732

Examiner: Ralph A. Lewis

Atty. Docket: 1737.2290009/DKSC/RLP

**Information Disclosure Statement**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

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07/08/2004 NKCHA1 00000006 09721107

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicant has checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☒ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☒ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☒ 6. A translation of document AW8 is attached thereto. A concise explanation of the relevance of non-English language documents AJ8, AK8, AS8, AT8, AU8 and AV8 can be found in the English language Abstracts attached thereto.
- ☒ 7. Copies of documents AA1-AD1, AF1-AO1, AR1, AS1, AU1-AZ1, AC2, AE2-AL2, AP2-AX2, AZ2, AB3-AH3, AJ3-AO3, AX3, AY3, AA4-AD4, AF4-AH4, AL4-AN4, AP4-AT4, AV4, AW4, AZ4, AA5-AD5, AJ5, AK5, AN5, AO5, AV5, AE6, AF8, AH8, AJ8, AK8, AN8-AX8, AA9, AC9, AD9, AF9-AJ9, AM9-AO9, AR9, AS9, AU9, AV9, AA10, AC10-AF10, AJ10, AK10, AM10-AO10,

AS10-AW10, AA11, AC11-AK11, AM11-AO11, AS11-AU11, AB12-AF12, AK12, AL12, AP12, AS12-AW12, AB13-AD13, AJ13, AN13, AP13, AR13, AT13, AW13, AA14-AC14 and AF14 are submitted herewith.

- ☒ 8. Copies of remaining cited documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in one or more of U.S. Application Nos. 09/531,097, 07/872,737, 07/327,286 and 07/109,686 which are relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- ☐ 9. No copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted in accordance with 1276 OG 55 because this application was filed after June 30, 2003.
- ☒ 10. It is expected that the examiner will review the prosecution and cited art in the parent application nos. 09/531,097, 07/872,737, 07/327,286 and 07/109,686 in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.



The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Rae Lynn P. Guest  
Attorney for Applicant  
Registration No. 53,482

Date: July 7, 2004

1100 New York Avenue, N.W.  
Washington, D.C. 20005-3934  
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FORM PTO-1449  <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO. 1737.2290009	APPLICATION NO. 09/721,107
	FIRST NAMED INVENTOR Dominik M. Wiktor	
	FILING DATE November 22, 2000	ART UNIT 3732

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA1	2,070,073	Feb., 1937	Walton			
	AB1	2,701,559	Feb., 1955	Cooper			
	AC1	2,854,982	Oct., 1958	Pagano			
	AD1	2,854,983	Oct., 1958	Baskin			
	AE1	3,105,492	Oct., 1963	Jeckel			
	AF1	3,155,095	Nov., 1964	Brown			
	AG1	3,284,762	Nov., 1966	Kompanek			
	AH1	3,334,629	Aug., 1967	Cohn			
	AI1	3,526,005	Sep., 1970	Bokros et al.			
	AJ1	3,540,431	Nov., 1970	Mobin-Uddin			
	AK1	3,562,820	Feb., 1971	Braun			
	AL1	3,599,641	Aug., 1971	Sheridan			
	AM1	3,657,744	Apr., 1972	Ersek			
	AN1	3,713,175	Jan., 1973	Weisman			
	AO1	3,714,671	Feb., 1973	Edwards et al.			
	AP1	3,774,596	Nov., 1973	Cook			
	AR1	3,834,394	Sep., 1974	Hunter et al.			
	AS1	3,858,441	Jan., 1975	Comeau			
	AT1	3,868,956	Mar., 1975	Alfidi et al.			
	AU1	3,874,388	Apr., 1975	King et al.			
	AV1	3,882,845	May., 1975	Bucalo			
	AW1	3,889,685	Jun., 1975	Miller, Jr. et al.			
	AX1	3,893,344	Jul., 1975	Dantlgraber et al.			
	AY1	3,894,974	Jul., 1975	Hunter et al.			
	AZ1	3,952,747	Apr., 1976	Kimmell, Jr.			

EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 1737.2290009	APPLICATION NO. 09/721,107
	FIRST NAMED INVENTOR Dominik M. Wiktor	
	FILING DATE November 22, 2000	ART UNIT 3732

AA2	3,968,800	Jul., 1976	Vilasi			
AB2	3,993,078	Nov., 1976	Bergentz et al.			
AC2	4,038,702	Aug., 1977	Sawyer			
AD2	4,038,703	Aug., 1977	Bokros			
AE2	4,047,252	Sep., 1977	Liebig et al.			
AF2	4,056,854	Nov., 1977	Boretos et al.			
AG2	4,061,134	Dec., 1977	Samuels et al.			
AH2	4,065,816	Jan., 1978	Sawyer			
AI2	4,076,285	Feb., 1978	Martinez			
AJ2	4,080,706	Mar., 1978	Heilman et al.			
AK2	4,105,022	Aug., 1978	Antoshkiw et al.			
AL2	4,106,129	Aug., 1978	Carpentier et al.			
AM2	4,130,904	Dec., 1978	Whelen			
AN2	4,140,126	Feb., 1979	Choudhury			
AO2	4,159,719	Jul., 1979	Haerr			
AP2	4,183,102	Jan., 1980	Guiset			
AR2	4,190,909	Mar., 1980	Ablaza			
AS2	4,195,637	Apr., 1980	Gruntzig et al.			
AT2	4,198,982	Apr., 1980	Fortner et al.			
AU2	4,214,587	Jul., 1980	Sakura, Jr.			
AV2	4,276,132	Jun., 1981	Fettel et al.			
AW2	4,295,464	Oct., 1981	Shihata			
AX2	4,299,226	Nov., 1981	Banka			
AY2	4,300,244	Nov., 1981	Bokros			
AZ2	4,313,231	Feb., 1982	Koyamada			

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449  <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO. 1737.2290009	APPLICATION NO. 09/721,107
	FIRST NAMED INVENTOR Dominik M. Wiktor	
	FILING DATE November 22, 2000	ART UNIT 3732



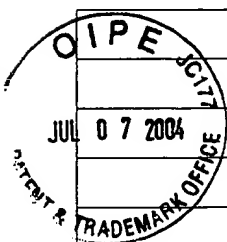
AA3	4,319,363	Mar., 1982	Ketharanatha			
AB3	4,323,994	Apr., 1982	Coogler			
AC3	4,328,811	May., 1982	Fogarty			
AD3	4,338,942	Jul., 1982	Fogarty			
AE3	4,340,046	Jul., 1982	Cox			
AF3	4,343,048	Aug., 1982	Ross et al.			
AG3	4,343,049	Aug., 1982	Fettel et al.			
AH3	4,390,599	Jun., 1983	Broyles			
AI3	4,402,307	Sep., 1983	Hanson et al.			
AJ3	4,403,612	Sep., 1983	Fogarty			
AK3	4,448,195	May., 1984	LeVeen et al.			
AL3	4,479,497	Oct., 1984	Fogarty et al.			
AM3	4,483,340	Nov., 1984	Fogarty et al.			
AN3	4,493,711	Jan., 1985	Chin et al.			
AO3	4,494,531	Jan., 1985	Gianturco			
AP3	4,503,569	Mar., 1985	Dotter			
AR3	4,512,338	Apr., 1985	Balko et al.			
AS3	4,531,933	Jul., 1985	Norton et al.			
AT3	4,550,447	Nov., 1985	Seller Jr. et al.			
AU3	4,553,545	Nov., 1985	Maass et al.			
AV3	4,560,374	Dec., 1985	Hammerslag			
AW3	4,562,596	Jan., 1986	Kornberg			
AX3	4,572,186	Feb., 1986	Gould et al.			
AY3	4,577,631	Mar., 1986	Kreamer			
AZ3	4,580,568	Apr., 1986	Gianturco			

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EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1737.2290009APPLICATION NO.  
09/721,107FIRST NAMED INVENTOR  
Dominik M. WiktorFILING DATE  
November 22, 2000ART UNIT  
3732

AA4	4,586,505	May., 1986	Sisson et al.			
AB4	4,601,713	Jul., 1986	Fagua			
AC4	4,604,762	Aug., 1986	Robinson			
AD4	4,617,932	Oct., 1986	Kornberg			
AE4	4,619,246	Oct., 1986	Molgaard-Nielsen et al.			
AF4	4,619,261	Oct., 1986	Guerriero			
AG4	4,641,653	Feb., 1987	Rockey			
AH4	4,643,184	Feb., 1987	Mobin-Uddin.			
AI4	4,647,416	Mar., 1987	Seller, Jr. et al.			
AJ4	4,649,922	Mar., 1987	Wiktor			
AK4	4,650,466	Mar., 1987	Luther			
AL4	4,655,771	Apr., 1987	Wallsten			
AM4	4,660,559	Apr., 1987	McGregor et al.			
AN4	4,660,560	Apr., 1987	Klein			
AO4	4,665,918	May., 1987	Garza et al.			
AP4	4,670,734	Jun., 1987	Caddock			
AR4	4,673,409	Jun., 1987	Van Kampen			
AS4	4,676,241	Jun., 1987	Webb et al.			
AT4	4,680,031	Jul., 1987	Alonso			
AU4	4,681,110	Jul., 1987	Wilkor			
AV4	4,699,611	Oct., 1987	Bowden			
AW4	4,704,126	Nov., 1987	Baswell et al.			
AX4	4,705,517	Nov., 1987	DiPisa, Jr.			
AY4	4,706,671	Nov., 1987	Weinrib			
AZ4	4,710,181	Dec., 1987	Fuqua			

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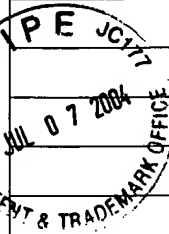
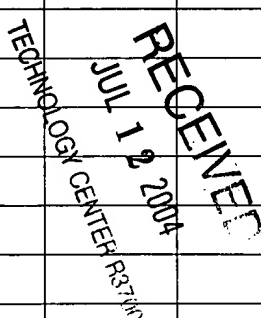


AA5	4,723,549	Feb., 1988	Wholey et al.			
AB5	4,731,054	Mar., 1988	Billeter et al.			
AC5	4,733,665	Mar., 1988	Palmaz			
AD5	4,739,762	Apr., 1988	Palmaz			
AE5	4,740,207	Apr., 1988	Kreamer			
AF5	4,760,849	Aug., 1988	Kropf			
AG5	4,762,128	Aug., 1988	Rosenbluth			
AH5	4,767,418	Aug., 1988	Deininger et al.			
AI5	4,768,507	Sep., 1988	Fischell et al.			
AJ5	4,771,773	Sep., 1988	Kropf			
AK5	4,775,426	Oct., 1988	Murley et al.			
AL5	4,776,337	Oct., 1988	Palmaz			
AM5	4,787,899	Nov., 1988	Lazarus			
AN5	4,787,900	Nov., 1988	Yannas			
AO5	4,793,348	Dec., 1988	Palmaz			
AP5	4,794,928	Jan., 1989	Kletschka			
AR5	4,795,458	Jan., 1989	Regan			
AS5	4,800,882	Jan., 1989	Gianturco			
AT5	4,813,925	Mar., 1989	Anderson Jr. et al.			
AU5	4,830,003	May, 1989	Wolff et al.			
AV5	4,842,575	Jun., 1989	Hoffman, Jr. et al.			
AW5	4,848,343	Jul., 1989	Wallsten et al.			
AX5	4,856,516	Aug., 1989	Hillstead			
AY5	4,870,966	Oct., 1989	Dellon et al.			
AZ5	4,875,480	Oct., 1989	Imbert			

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	AA6	4,877,030	Oct., 1989	Beck et al.			
	AB6	4,878,906	Nov., 1989	Lindemann et al.			
	AC6	4,886,062	Dec., 1989	Wiktor			
	AD6	4,892,539	Jan., 1990	Koch			
	AE6	4,892,541	Jan., 1990	Alonso			
	AF6	4,893,623	Jan., 1990	Rosenbluth			
	AG6	4,907,336	Mar., 1990	Gianturco			
	AH6	4,913,141	Apr., 1990	Hillstead			
	AI6	4,922,905	May, 1990	Strecker			
	AJ6	4,923,464	May, 1990	DiPisa, Jr.			
	AK6	4,950,227	Aug., 1990	Savin et al.			
	AL6	4,954,126	Sep., 1990	Wallsten			
	AM6	4,969,458	Nov., 1990	Wiktor			
	AN6	4,969,890	Nov., 1990	Sugita et al.			
	AO6	4,986,831	Jan., 1991	King et al.			
	AP6	4,990,155	Feb., 1991	Wilkoff			
	AR6	4,994,071	Feb., 1991	MacGregor			
	AS6	4,998,539	Mar., 1991	Delsanti			
	AT6	5,002,560	Mar., 1991	Machold et al.			
	AU6	5,007,926	Apr., 1991	Derbyshire			
	AV6	5,015,253	May, 1991	MacGregor			
	AW6	5,019,085	May, 1991	Hillstead			
	AX6	5,019,090	May, 1991	Pinchuk			
	AY6	5,026,377	Jun., 1991	Burton et al.			
	AZ6	5,034,001	Jul., 1991	Garrison et al.			

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AA7	5,035,706	Jul., 1991	Gianturco et al.			
AB7	5,037,377	Aug., 1991	Alonso			
AC7	5,037,392	Aug., 1991	Hillstead			
AD7	5,037,427	Aug., 1991	Harada et al.			
AE7	5,041,126	Aug., 1991	Gianturco			
AF7	5,059,211	Oct., 1991	Stack et al.			
AG7	5,061,275	Oct., 1991	Wallsten et al.			
AH7	5,062,829	Nov., 1991	Pryor et al.			
AI7	5,064,435	Nov., 1991	Porter			
AJ7	5,071,407	Dec., 1991	Termin et al.			
AK7	5,078,726	Jan., 1992	Kreamer			
AL7	5,078,736	Jan., 1992	Behl			
AM	5,084,065	Jan., 1992	Weldon et al.			
AN7	5,089,005	Feb., 1992	Harada			
AO7	5,089,006	Feb., 1992	Stiles			
AP7	5,092,877	Mar., 1992	Pinchuk			
AR7	5,102,417	Apr., 1992	Palmaz			
AS7	5,104,399	Apr., 1992	Lazarus			
AT7	5,108,416	Apr., 1992	Ryan et al.			
AU7	5,108,417	Apr., 1992	Sawyer			
AV7	5,122,154	Jun., 1992	Rhodes			
AW7	5,123,917	Jun., 1992	Lee			
AX7	5,133,732	Jul., 1992	Wiktor			
AY7	5,163,958	Nov., 1992	Pinchuk			
AZ7	5,192,307	Mar., 1993	Wall			

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AA8	5,195,984	Mar., 1993	Schatz			
AB8	5,226,913	Jul., 1993	Pinchuk			
AC8	5,314,444	May, 1994	Gianturco			
AD8	5,653,727	Aug., 1997	Wiktor			
AE8	5,902,332	May, 1999	Schatz			
AF8	6,066,168	May., 2000	Lau et al.			
AG8	6,113,621	Sep., 2000	Wiktor			
AH8	RE34327	Jul., 1993	Kreamer			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AI8	DE 837122	Jul., 1949	Germany		Yes No
	AJ8	DE 2410933	Sep., 1974	Germany		Yes No
	AK8	DE 3205942	Sep., 1983	Germany		Yes No
	AL8	DE 3640745	Jun., 1987	Germany		Yes No
	AM8	EP 0 183 372	Jun., 1986	EPO		Yes No
	AN8	EP 0 177 330	Sep., 1986	Europe		Yes No
	AO8	GB 1205743	Sep., 1970	Great Britain		Yes No
	AP8	GB 2092894	Aug., 1982	Great Britain		Yes No
	AR8	GB 2135585	Sep., 1984	Great Britain		Yes No
	AS8	JP 60-500520	Apr., 1985	Japan		Yes No
	AT8	JP 61-41444	Feb., 1986	Japan		Yes No
	AU8	SU 1217402	Mar., 1986	Soviet Union		Yes No
	AV8	SU 1457921	Sep., 1987	Soviet Union		Yes No
	AW8	SU 660689	May., 1979	Soviet Union		Yes No
	AX8	SU 764684	Sep., 1980	Soviet Union		Yes No

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	AA9	WO 83/03752	Nov., 1983	PCT			Yes No
	AB9	WO 89/01798	Mar., 1989	WIPO			

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AC	9	9 Journal of the American College of Cardiology 2 (Supplement A):106A (from Abstracts of the 36.sup.th Annual Scientific Session, American College of Cardiology, New Orleans, Louisiana, Mar. 8-12, 1987), Elsevier (Feb. 1987).
	AD	9	Baier RE, Dutton RC, "Initial events in interaction of blood with a foreign surface," J Biomed Mater Res Symp 1969; 2: 191-206.
	AE	9	Balko et al., "Transfemoral Placement of Intraluminal Polyurethane Prosthesis for Abdominal Aortic Aneurysm," Journal of Surgical Research 40, 305-309 (1986).
	AF	9	Becker, G.J., et al., "Simultaneous Angioplasty and Intraluminal Grafting with the Palmaz Expandable Intraluminal Graft," 72.sup.nd Scientific Assembly and Annual Meeting of the Radiological Society of North America, Chicago (Nov./Dec. 1996). [Abstract].
	AG	9	Campbell, C.D. et al. "Expanded Microporous Polytetrafluoroethylene as a Vascular Substitute: A Two Year Follow-up," 85 Surgery, No. 2, 177-183, St. Louis: C.V. Mosby Co. (Feb. 1979).
	AH	9	Carrasco, C.H., et. al., "Expandable Biliary Endoprosthesis: An Experimental Studt," 145 AJR, 1279-1281, Baltimore: American Roentgen Ray Society (Dec. 1985).
	AI	9	Castaneda-Zuniga, W.R., Transluminal Angioplasty, New York: Thieme-Stratton, iii-207 (1983).
	AJ	9	Charnsangavej, C., et al., "Endovascular Stent for Use in Aortic Dissection: An In Vitro Experiment," 157 Radiology, 323-324, Easton PA: The Radiological Society of North America (1985).
	AK	9	Charnsangavej et al., "Stenosis of the Vena Cava; Preliminary Assessment of Treatment with Expandable Metallic Stents," 161 Radiology, 295-298 (1986).
	AL	9	Charnsangavej et al, "A New Expandable Metallic Stent for Dialtion of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3 (June 1987).
	AM	9	Cimochowski, G., et al., "Greenfield Filter Versus Mobin-Uddin Umbrella," 79 Journal of Thoracic and Cardiovascular Surgery, No. 3, 385-365, St. Louis: C.V. Mosby Co. (Mar. 1980).
	AN	9	Coons, H., et al., "Large-Bore, Long Biliary Endoprosthese (Biliary Stents) for Improved Drainage," 148 Radiology, 89-94, Easton, PA: The Radiological Society of North America (Jul. 1983).
	AO	9	Cope, C., "Balloon Dilation of Closed Mesocaval Shunts," 135 AJR, 989-993, Baltimore: American Roentgen Ray Society (Nov. 1980).
	AP	9	Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire," 147 Radiology, 261-263 (Apr. 1983).
	AR	9	Cragg, A., et al., "A New Percutaneous Vena Cava Filter," 141 AJR 601-604, Baltimore: American Roentgen Ray Society (Sep. 1983).
	AS	9	Cragg, A.H., et al., "Percutaneous Arterial Grafting," 150 Radiology, No. 1, 45-49, Easton PA: The Radiological Society of North America (Jan. 1984).
	AT	9	Culverwell, M. "Angioplasty Stents May Prevent Restenosis," Cardio (Jan. 1987).
	AU	9	Denny, D., et al., "Percutaneous Kimray-Greenfield Filter Placement by Femoral Vein Puncture," 145 AJR, 827-829, Baltimore: American Roengen Ray Society (Oct. 1985).
	AV	9	DePalma VA, Baier, Ford JW, Gott VL, Furuse A, "Investigation of Three Surface Properties of Several Metals and their relation to Blood Compatibility," J Biomed Mater Res Symp 1972; 3: 37-75.

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AA	<u>10</u>	Deriu G., et al., "The Rationale for Patch-Graft Angioplasty After Carotid Endarterectomy: Early and Long-Term Follow-Up," 15 Stroke, No. 6, 972-979, Dallas: American Heart Association (Nov. 1984).
AB	<u>10</u>	DiMassa et al., ABSTRACT: "Maintenance of Longterm Arterial Patency by Implantation of a Metallic Prosthetic Device," Abstract of the 59 <sup>th</sup> Scientific Sessions, AHA, Dallas, pp. 11-363 (1986).
AC	<u>10</u>	Dorland's Illustrated Medical Dictionary, 26th ed., 1981 pp. 675 & 759, Philadelphia: W.B. Saunders (1981).
AD	<u>10</u>	Dorros G. et al., "Clinical Research: Angioplasty" Circulation Supplement 1986; 74: 1448; II363. [Abstract].
AE	<u>10</u>	Dotter, C., et al., "Transluminal Treatment of Arteriosclerotic Obstruction," 30 Circulation, 654-670, Dallas: American Heart Association (Nov. 1964).
AF	<u>10</u>	Dotter, C., "Interventional Radiology-Review of an Emerging Field," 16 Seminars in Roentgenology No. 1 (Jan. 1981).
AG	<u>10</u>	Dotter, C., MD et al., "Technical Developments and Instrumentation: Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report," Radiology 147:259-260 (Apr. 1983).
AH	<u>10</u>	Duprat, Jr. et al., "Flexible Balloon-Expanded Stent for Small Vessels," 162 Radiology, 276-278 (1987).
AI	<u>10</u>	Duprat et al., "Self-Expanding Metallic Stents for Small Vessels: An Experimental Evaluation," 162 Radiology, No. 2, 469-72, (Feb. 1987).
AJ	<u>10</u>	Edwards, W.S., "Arterial Grafts," 113 Archives of Surgery, No. 9, 1225-1223, Chicago: AMA (Nov. 1978).
AK	<u>10</u>	Eichelster, P., et al., "Prophylaxis of Pulmonary Embolism," 97 Archives of Surgery, 348-356, Chicago: AMA (Aug. 1968).
AL	<u>10</u>	Fallone et al., "Elastic Characteristics of the Self-expanding Metallic Stents," Invest. Radiology 23:370-376 (1988).
AM	<u>10</u>	Fogarty, T.J., et al., "Adjunctive Intraoperative Arterial Dilation: Simplified Instrumentation Technique," 116 Archives of Surgery, 1391-1398, Chicago: AMA (1981).
AN	<u>10</u>	Fogarty, T.J. et al., "Current Status of Dilatation Catheters and Guiding Systems," 53 The American Journal of Cardiology, No. 12, 97C-100C, New York: Robert T. Brawn (Jun. 1984).
AO	<u>10</u>	Fogarty, T.J., et al., "Intraoperative Coronary Artery Balloon-Catheter Dilation," 107 American Heart Journal, No. 4, 845-851, St. Louis, C.V. Mosby (1984).
AP	<u>10</u>	Foubia et al., ABSTRACT: "Intracoronary Stenting of Canine Coronary Arteries After Percutaneous Coronary Angioplasty (PTCA)," Circ. Vol. 74, Supp II (Oct. 1986).
AR	<u>10</u>	Frimberger, E., "Expanding Spiral-A New Type of Prosthesis for the Palliative Treatment of Malignant Esophageal Stenosis," Endoscopy 15, 213-214 (1983).
AS	<u>10</u>	Gardner, R.J., et al., "The Surgical Experience and a One to Sixteen Year Follow-Up of 277 Abdominal Aortic Aneurysms," 135 American Journal of Surgery, No. 1, 226-230 (Jan. 1978).
AT	<u>10</u>	Goldstein, H., et al., "Transcatheter Occlusion of Abdominal Tumors," 120 Radiology, No. 3., 539-545, Easton, PA: Radiological Society of North America (Sep. 1976).
AU	<u>10</u>	Greenfield, L., et al., "A New Intracaval Filter Permitting Continued Flow and Resolution of Emboli," 73 Surgery, No. 4, 599-606, St. Louis: C.V. Mosby (Apr. 1973).
AV	<u>10</u>	Gunther, R., M.D., et al., "Percutaneous Nephrophenelostomy Using a Fine-Needle Puncture Set," 132 Radiology, No. 1, 228-230, Easton, PA: Radiological Society of North America (Jul. 1979).
AW	<u>10</u>	Gunther, R., et al., "Vena Caval Filter to Prevent Pulmonary Embolism: Experimental Study," 156 Radiology, No. 2, 315-320, Easton, PA: Radiological Society of North America (Aug. 1985).



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AA	11	Harris-Jones, E.P., et al., "Repositions of Biliary Endoprosthesis with Gruntzig Balloon Catheters," 138 AJR, 771-772, Baltimore: American Roentgen Ray Society (Apr. 1982).
AB	11	Hickey et al., "In Vivo Angioscopy Following Balloon Angioplasty," Circulation, Vol. 74, Supp II (Oct. 1986).
AC	11	Hoevels, J., et al., "Percutaneous Transhepatic Insertion of a Permanent Endoprosthesis in Obstructive Lesions of the Extrahepatic Bile Ducts," 4 Gastrointestinal Radiology, 367-377, New York: Springer-Verlag (1979).
AD	11	Honickman, S., et al., "Malpositioned Biliary Endoprosthesis," 144 Radiology, 423-425, Easton, PA: Radiological Society of North America (Jul. 1982).
AE	11	Hunter, J. et al., "Experimental Balloon Obstruction of the Inferior Vena Cava," 171 Annals of Surgery, No. 2, 315-320, J.B. Lippincott (Feb. 1970).
AF	11	Inoue, K., et al., "Clinical Application of Transvenous Mitral Commissurotomy by a New Balloon Catheter," 87 J. Thorac. Cardiovascular Surg., No. 3, 394-402, St. Louis, C.V. Mosby (Mar. 1984).
AG	11	Kan, J.S., et al., "Percutaneous Balloon Valvuloplasty: A New Method for Treating Congenital Pulmonary-Valve Stenosis," 307 N. Engl. J. Med., No. 9, 540-542, Boston: Massachusetts Medical Society (1982).
AH	11	Kerlan, Jr., et al., "Biliary Endoprostheses: Insertion Using a Combined Peroral-Transhepatic Method," 150 Radiology, No. 3, 828-830, Easton, PA: Radiological Society of North America (1984).
AI	11	Kerlan, R.K., Jr., et al., "A Simple Method for Insertion of Large Untapered Catheters," 141 AJR, 792, Baltimore: American Roentgen Ray Society (Oct. 1983).
AJ	11	Lababidi, Z. et al., "Percutaneous Balloon Aortic Valvuloplasty: Results in 23 Patients," 53 Am. J. Cardiol. 194-197, Robert T. Brawn (Jan. 1984).
AK	11	Lary, B., et al., "The Experimental Use of Steel Mesh Tubes for the Replacement of Arterial Segments," 72 AMA Archives of Surgery, 69-75, Chicago: AMA (Jan. 1956).
AL	11	Lawrence Jr., D., et al., "Percutaneous Endovascular Graft: Experimental Evaluation," Radiology Vol. 163, No. 2, 357-360 (1987).
AM	11	Lewandowski, B., et al., "The Air-Filled Hepatic Duct: The Saber Sign as an Aid to the Radiographic Diagnosis Pneumobilia," 153 Radiology, No. 2, 329-332, Easton, PA: Radiological Society of North America (Nov. 1984).
AN	11	Lund, G., et al., "A New Vena Caval Filter for Percutaneous Placement and Retrieval: Experimental Study," 152 Radiology, No. 2, 369-372, Easton, PA: Radiological Society of North America (Aug. 1984).
AO	11	Lunderquist, A., et al., "Guidewire for Percutaneous Transhepatic Cholangiography," 132 Radiology, No. 1, 228, Easton, PA: Radiological Society of North America (Jul. 1979).
AP	11	Maass et al., "Radiological Follow-Up of Transluminally Inserted Vascular Endoprostheses: An Experimental Study Using Expanding Spirals," 152 Radiology, 659-663 (1984).
AR	11	Mirich et al., "Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," Radiology 170:1033-1037 (1989).
AS	11	Mobin-Uddin, K., et al., "Caval Interruption for Prevention of Pulmonary Embolism," 99 Archives on Surgery, 711-715, Chicago, AMA (Dec. 1969).
AT	11	Mobin-Uddin, K., et al., "The Inferior Vena Cava Umbrella Filter," 17 Progress in Cardiovascular Diseases, No. 5, 391-399, Crune & Stratton (Mar./Apr. 1975).
AU	11	Moenaghan MA, et al., "Tissue Response to Surface-Treated Tantalum Implants: Preliminary Observations in Primates," 13 J. Biomed Mater Res., No. 4, 631-643 (Jul. 1979). [Abstract].

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AA	<u>12</u>	Mullins et al., "Implantation of Balloon-Expandable Intravascular Grafts by Catheterization in Pulmonary Arteries and Systemic Vein," 77 Circulation, No. 1, 188-199 (1988).
AB	<u>12</u>	Nanda, R., et al., "Effect of Maxillary Osteotomy on Subsequent Craniofacial Growth in Adolescent Monkeys," Am J Orthod (May 1983). [Abstract].
AC	<u>12</u>	Palestrant, A., et al., "Comparative in Vitro Evaluation of the Nitinol Inferior Vena Cava Filter," 145 Radiology, 351-355, Easton, PA: Radiological Society of North America (Nov. 1982).
AD	<u>12</u>	Dr. Julio Palmaz's Monograph (1980).
AE	<u>12</u>	Palmaz, J., et al., "Removable Biliary Endoprosthesis," 140 AJR, 812-814, Baltimore: American Roentgen Ray Society (Apr. 1983).
AF	<u>12</u>	Dr. Julio Palmaz's Monograph (May 18, 1983).
AG	<u>12</u>	Palmaz et al., "Expandable Intraluminal Graft: A Preliminary Study," by Radiology, 73-77 (1985).
AH	<u>12</u>	Palmaz et al., "Expandable Intrahepatic Portacaval Shunt Stents: Early Experience in the Dog," 145 AJR, 821-825 (Oct. 1985).
AI	<u>12</u>	Palmaz et al., "Atherosclerotic Rabbit Aortas; Expandable Intraluminal Grafting," Radiology, 723-726 (1986).
AJ	<u>12</u>	Palmaz et al., "Expandable Intraluminal Vascular Graft: A Feasibility Study," Surgery, pp. 199-205 (Feb. 1986).
AK	<u>12</u>	Palmaz, J. et al., "Experimental Balloon Expandable Intraluminal Stenting of Normal and Abnormal Renal Arteries," 72nd RSNA Meeting, Chicago, Illinois, pp. 1-23 [plus figures] (Nov. 1986).
AL	<u>12</u>	Palmaz, J., et al., "Balloon Expandable Intraluminal Grafting of Normal and Abnormal Renal Arteries: Experimental Study," 72nd Scientific Assembly and Annual Meeting, Radiological Society of North America, Chicago (Nov./Dec. 1986). [Abstract].
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AN	<u>12</u>	Palmaz et al., "Die Intraluminale Stent-Implantation Nach Palmaz," 27 Radiologe; 560-563 (1987).
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## INFORMATION DISCLOSURE STATEMENT

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1737.2290009APPLICATION NO.  
09/721,107FIRST NAMED INVENTOR  
Dominik M. WiktorFILING DATE  
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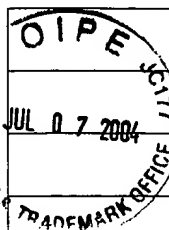
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	AA	<u>14</u>	Simon, M., et al., "A Vena Cava Filter Using Thermal Shape Memory Alloy" 125 Radiology, 89-94, Easton, PA: Radiological Society of North America (Oct. 1977).
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	AO	<u>14</u>	Dominik Wiktor Affidavit, June 15, 1990 regarding SN 07/327,286
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